

Form PTO-1449 (Modified)	Atty Docket No. 4327P005	Application No.
<i>List of Patents & Publications Statement</i>	Applicant(s): Liu He, et al.	
(Use several sheets if necessary)	Filing Date: October 31, 2001	Group No.:

10879 U.S. PTO
 10/001639
 10/31/01

U.S. PATENT DOCUMENTS

Exam. Initials		Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

Exam. Initials		Document Number	Date	Country	Class	Sub-class	Translation Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							

OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)

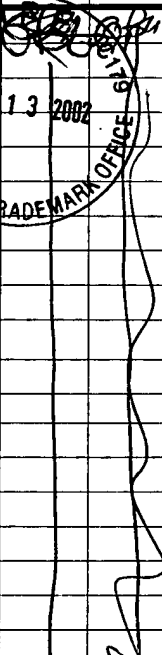
Exam. Initials		Document Identification
GBL	AR	Belleville, P., et al., "A UV-cured sol-gel broadband antireflective and scratch-resistant coating for CRT," SPIE Vol. 3943, Sol-Gel Optics V (2000), pp. 67-71
↓	AS	Oliveira, P.W., et al., "General of wet-chemical AR-coatings on plastic substrates by use of polymerizable nanoparticles," SPIE Vol. 3136, pp.452-461.
↓	AT	Floch, H.G., et al., "Sol-gel broadband antireflective coating for advanced laser-glass amplifiers," SPIE Vol. 2288, Sol-Gel Optics III (1994), pp. 14-24.

Examiner:

Date Considered: 5/21/03

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/001,639
				Filing Date	October 31, 2001
Sheet 1 of 4				First Named Inventor	Liu He
				Group Art Unit	1762
				Examiner Name	Not yet assigned
				Attorney Docket Number	4327P005

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		4,944,962		Furuta et al.	07-31-1990	
		4,273,826		McCollister et al.	06-16-1981	
		4,286,024		Yoldas	08-25-1981	
		4,476,156		Brinker et al.	10-09-1984	
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		4,652,467		Brinker et al.	03-24-1987	
		4,710,227		Harley et al.	12-01-1987	
		4,731,264		Lin et al.	03-15-1988	
		5,198,267		Aharoni et al.	03-30-1993	
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		5,580,819		Li et al.	12-03-1996	
		5,622,784		Okaue et al.	04-22-1997	
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		3,989,609		Brack	11-02-1976	
		4,130,672		Onoki et al.	12-19-1978	
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	5,858,526		Floch et al.	01-12-1999		

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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Office ²	Number ⁴	Kind Code ⁵ (if known)				
GR		JP	2-671			01-05-1990		
JP		JP	51-1387			01-08-1976		
		JP	52-26382			02-26-1977		
JP		JP	54-23557			02-22-1979		
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		JP	57-47330			03-18-1982		
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		JP	58-211701			12-09-1983		
		JP	59-13201			01-24-1984		
		JP	59-231501			12-26-1984		

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Application Number		10/001,639			
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First Named Inventor		Liu He			
Group Art Unit		1762			
Examiner Name		Not yet assigned			
Attorney Docket Number		4327P005			
Sheet	2	of	4		

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		Number	Kind Code ² (if known)			
		5,178,955		Aharoni et al.	01-12-1993	
		6,165,564		Craet et al.	12-26-2000	
		6,228,433	B1	Witt	05-08-2001	
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		3,012,006		Holbrook et al.	12-05-1961	
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		3,442,664		Heine	05-06-1969	
		3,579,540		Ohlhausen	05-18-1971	
		3,900,672		Hammond et al.	08-19-1975	
		3,959,563		Vaughn, Jr. et al.	05-25-1976	
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		4,267,213		Beck et al.	05-12-1981	
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		4,599,272		Ichikawa	07-08-1986	
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		5,225,244		Aharoni et al.	07-06-1993	

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
0811 ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓		JP	59-39714			03-05-1984		
		JP	60-258190			12-20-1985		
		JP	61-130902			06-18-1986		
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		JP	62-80603			04-14-1987		
		JP	63-214791			09-07-1988		
		JP	63-228101			09-22-1988		
		JP	64-9222			01-12-1989		
		JP	64-86101			03-30-1989		
		JP	1-149808			06-12-1989		

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
JP		JP	1-239501			09-25-1989		
		JP	1-309003			12-13-1989		
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		JP	2-87101			03-28-1990		
		JP	2-130501			05-18-1990		
		JP	2-181701			07-16-1990		
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		JP	2-248480			10-04-1990		
		JP	3-148603			06-25-1991		
		JP	3-195757			08-27-1991		

Examiner Signature	<i>[Signature]</i>	Date Considered	5/31/03
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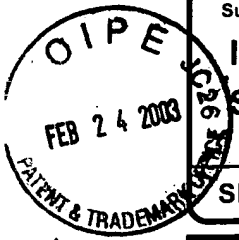
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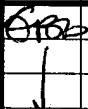
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(use as many sheets as necessary)				Filing Date	October 31, 2001
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				Art Unit	1762
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		Number - Kind Code ² (if known)			
		US-5,385,955	01-31-1995	Tarshiani et al.	
		US-5,770,306	06-23-1998	Suzuki et al.	
		US-6,245,428 B1	06-12-2001	Port et al.	
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		EP 0924537 A1	06-23-1999	Aoyama et al.		
		EP 1022587 A1	07-26-2000	Yasuda et al.		
		WO 00/27931	05-18-2000	Yashiro et al.		

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